



# 2011 Annual Conference

*Forging a new level of trust in the New Financial World*

## AGENDA

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| 7:30 am – 8:30 am   | Registration and Networking Breakfast.   |
| 8:30 am – 8:45 am   | Welcome and Opening Remarks:<br><b>Roman Dubczak</b> , Chair, IIAC, and Managing Director and Head, Cash Equities, Wholesale Banking, CIBC World Markets Inc.  |
| 8:45 am – 9:00 am   | <b>Leading a business with integrity in the New Financial World.</b><br><b>Thomas S. Caldwell</b> , Founder and Chairman, Caldwell Securities Ltd.   |
| 9:00 am – 9:35 am   | <b>Implications of global market consolidation in the New Financial World.</b><br><b>André A. Cappon</b> , President and Founding Partner, CBM Group Inc.<br><b>Sponsored by CDS.</b>  |
| 9:35 am – 10:25 am  | <b>The role of Regulators in the New Financial World.</b><br>Panel discussion moderated by <b>Roman Dubczak</b> , Chair, IIAC.<br><b>Susan Wolburgh Jenah</b> , President and CEO, IIROC.<br><b>Stephen Luparello</b> , Vice-Chairman, FINRA.<br><b>Ian C.W. Russell</b> , President and CEO, IIAC.<br><b>Ira D. Hammerman</b> , General Counsel, SIFMA. |
| 10:25 am – 10:55 am | Networking Break.<br><b>Sponsored by Fidelity Clearing Canada.</b>   |
| 10:55 am – 11:35 am | <b>A Canadian Securities Regulator for the New Financial World.</b><br><b>Douglas Hyndman</b> , Chair and CEO, Canadian Securities Transition Office.  |
| 11:35 am – 12:15 pm | A.M. Keynote Address:<br><b>Forging a new level of trust in the Investment Industry.</b><br><b>Margaret Franklin</b> , Chair, CFA Institute.<br><b>Sponsored by TMX Group.</b>   |
| 12:15 pm – 1:10 pm  | Lunch:<br><b>Presentation of the IIAC Future Leader Scholarship Award</b> , to 2010 recipient Quentin Broad,<br>Managing Director, Head of Equity Research, CIBC World Markets Inc.<br><b>Presented by CSI Global Education Inc.</b>   |
| 1:10 pm – 1:45 pm   | Dessert and Networking Break:<br><b>Luncheon Special Presentation</b> (an “optional” event for interested attendees, taking place in the Green Room).<br><b>Briefing on T+2 in Europe and How It Impacts the Americas Region.</b><br><b>Presented by Omgeo LLC.</b>  |
| 1:45 pm – 2:25 pm   | <b>The Economics of Integrity.</b><br><b>Anna Bernasek</b> , author of “ <i>The Economics of Integrity</i> ”.  |
| 2:25 pm – 3:10 pm   | <b>The Language of Trust.</b><br><b>Cam Marston</b> , Founder and President, Generational Insights.<br><b>Sponsored by National Bank Correspondent Network.</b>  |
| 3:10 pm – 3:40 pm   | Networking Break.<br><b>Sponsored by Capco.</b>  |
| 3:40 pm – 4:15 pm   | Event Keynote Address:<br><b>Navigating the Regulatory Landscape in the Wake of the Financial Crisis.</b><br><b>The Honorable Sheila C. Bair</b> , 19th Chairman of the U.S. Federal Deposit Insurance Corporation (FDIC) 2006-2011.   |
| 4:15 pm – 4:45 pm   | Q & A.   |
| 4:45 pm – 5:00 pm   | Closing Comments:<br><b>Ian C.W. Russell</b> , President and CEO, IIAC.  |
| 5:00 pm – 6:30 pm   | Reception.<br><b>Sponsored by Broadridge.</b>  |
| 6:30 pm – 9:00 pm   | <b>Dinner and a movie: Different perspectives on trust and integrity</b><br><b>...an evening with Cass Warner and Stephen Lewis.</b>   |





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